

MA3205

# Geometry of Curves and Surfaces

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## 1 Curves

### 1.1 Introduction

**Definition 1.1.** A curve is a continuous map  $\gamma: \mathbb{R} \rightarrow \mathbb{R}^n$ .

**Definition 1.2.** A smooth curve  $\gamma: \mathbb{R} \rightarrow \mathbb{R}^n$  is  $C^\infty$ , i.e. differentiable arbitrarily times.

**Definition 1.3.** A closed curve  $\gamma: \mathbb{R} \rightarrow \mathbb{R}^n$  is periodic, i.e there exists some  $c$  such that  $\gamma(t + c) = \gamma(t)$  for all  $t \in \mathbb{R}$ .

*Example.* Alternatively, a closed curve can be thought of as a continuous map  $\gamma: S^1 \rightarrow \mathbb{R}^n$ . For instance, given a closed curve  $\gamma: \mathbb{R} \rightarrow \mathbb{R}^n$  with period  $c$ , we can define the corresponding map

$$\tilde{\gamma}: S^1 \rightarrow \mathbb{R}^n, \quad \tilde{\gamma}(e^{it}) = \gamma(ct/2\pi).$$

**Definition 1.4.** A simple curve  $\gamma: \mathbb{R} \rightarrow \mathbb{R}^n$  is injective on its period.

**Theorem 1.1** (Four Vertex Theorem). *The curvature of a simple, closed, smooth plane curve has at least two local minima and two local maxima.*

**Definition 1.5.** A knot is a simple closed curve in  $\mathbb{R}^3$ .

**Definition 1.6.** The total absolute curvature of a knot  $K$  is the integral of the absolute value of the curvature, taken over the curve, i.e. it is the quantity

$$\oint_K |\kappa(s)| ds.$$

*Example.* The total absolute curvature of a circle is always  $2\pi$ .

**Theorem 1.2** (Fáry-Milnor Theorem). *If the total absolute curvature of a knot  $K$  is at most  $4\pi$ , then  $K$  is an unknot.*

**Definition 1.7.** An immersed loop  $\gamma$  is such that  $\gamma'$  is never zero.

**Definition 1.8.** Two loops are isotopic if there exists an interpolating family of loops between them. Two immersed loops are isotopic if we can choose such an interpolating family of immersed loops.

*Example.* Without the restriction of immersion, any two loops  $\gamma, \eta: S^1 \rightarrow \mathbb{R}^n$  would be isotopic, since we can always construct the linear interpolations

$$H: S^1 \times [0, 1] \rightarrow \mathbb{R}^n, \quad H(e^{i\theta}, t) = (1-t)\gamma(e^{i\theta}) + t\eta(e^{i\theta}).$$

**Theorem 1.3** (Hirsch-Smale Theory).

1. Any two immersed loops in  $\mathbb{R}^2$  are isotopic if and only if their turning numbers match.
2. Any two immersed loops in  $S^2$  are isotopic if and only if their turning numbers modulo 2 match.

**1.2 Whitney's theorem**

**Lemma 1.4.** Let  $\Omega \subset \mathbb{R}^n$  be open and let  $C \subseteq \Omega$  be closed. Then there exists a continuous function  $f: \Omega \rightarrow \mathbb{R}$  such that  $f^{-1}(0) = C$ .

*Remark.* The converse, i.e.  $f^{-1}(0) = C$  implies  $C$  is closed, where  $f$  is continuous on  $\Omega$ , is trivial.

*Proof.* Set  $f$  to be the distance function from  $C$ , i.e.

$$f(x) = \inf_{y \in C} d(x, y). \quad \square$$

**Theorem 1.5** (Whitney's Theorem). Let  $\Omega \subset \mathbb{R}^n$  be open and let  $C \subseteq \Omega$  be closed. Then there exists a smooth function  $f: \Omega \rightarrow \mathbb{R}$  such that  $f^{-1}(0) = C$ .

*Proof.* Set  $V = \Omega \setminus C$ , and cover  $V$  by a countable collection of open balls,

$$V = \bigcup_{i=1}^{\infty} B(q_k, r_k).$$

This can always be done since  $V$  is open, and using the density of  $\mathbb{Q}$  in  $\mathbb{R}$  to pick only rational  $q_k, r_k$ . Now for each open ball  $B(q_k, r_k)$ , we can construct a smooth bump functions  $f_k$  such that  $f^{-1}(0) = \mathbb{R}^n \setminus B(q_k, r_k)$ ,  $f^{-1}(1) = \overline{B(q_k, r_k/2)}$ , and all derivatives of  $f_k$  vanish on  $\mathbb{R}^n \setminus B(q_k, r_k)$ .

Define the weights

$$c_k = \max_{\substack{|\alpha| \leq k \\ y \in B(q_k, r_k)}} \left| \frac{\partial^\alpha f_k}{\partial x^\alpha}(y) \right|.$$

Note that each  $c_k$  is well-defined: there are finitely many multi-indices  $\alpha$  given  $k$ , and each of the partials  $\partial^\alpha f / \partial x^\alpha$  is a smooth function over a compact set, hence bounded. Furthermore, each  $c_k \geq 1$ . Finally, set

$$f = \sum_{k=1}^{\infty} \frac{f_k}{2^k c_k}.$$

It is clear that  $f^{-1}(0) = C$ . We can show that the partial sums  $s_n$  converge; let  $\epsilon > 0$  and choose sufficiently large  $N$  such that  $1/2^N < \epsilon$ . Now for  $m > n \geq N$ , examine

$$|s_m(x) - s_n(x)| = \sum_{k=n+1}^m \frac{f_k(x)}{2^k c_k} \leq \sum_{k=n+1}^m \frac{1}{2^k} \leq \frac{1}{2^N} < \epsilon$$

Thus, the convergence is uniform, and  $f$  is  $C^0$ . For higher derivatives, we examine some  $\alpha$  partial of the sums, and use the same argument; at each stage,  $|\partial^\alpha f / \partial x^\alpha| < c_k$  whenever  $|\alpha| < k$ . □

### 1.3 Parametrized curves

**Definition 1.9.** A parametrized curve in  $\mathbb{R}^n$  is a smooth map  $\gamma: (\alpha, \beta) \rightarrow \mathbb{R}^n$  for some  $\alpha, \beta$  with  $-\infty \leq \alpha < \beta \leq \infty$ .

*Remark.* Here, we will always implicitly assume that maps are continuous.

*Remark.* Such a curve is called regular if  $\gamma'(t) \neq 0$  for all  $t \in (\alpha, \beta)$ .

*Example.* The curve defined by

$$\gamma: \mathbb{R} \rightarrow \mathbb{R}^n, \quad t \mapsto a + tb$$

is a straight line through the point  $a$ , in the direction  $b$ .

*Example.* The curve defined by

$$\gamma: \mathbb{R} \rightarrow \mathbb{R}^2, \quad t \mapsto (\cos t, \sin t)$$

is the unit circle in  $\mathbb{R}^2$ , counter-clockwise.

*Example.* The curve defined by

$$\gamma: \mathbb{R} \rightarrow \mathbb{R}^3, \quad t \mapsto (t, \cos t, \sin t)$$

is a helix in  $\mathbb{R}^3$ , wrapped around the  $x$ -axis.

**Definition 1.10.** A diffeomorphism is a smooth map with a smooth inverse.

*Example.* Suppose that  $\gamma: (\alpha, \beta) \rightarrow \mathbb{R}^n$  is a smooth curve. If we have a diffeomorphism  $\varphi: (\alpha', \beta') \rightarrow (\alpha, \beta)$ , then the smooth curve  $\eta = \gamma \circ \varphi$  is a reparametrization of  $\gamma$ . Note that

$$\eta'(t) = \gamma'(\varphi(t)) \varphi'(t).$$

**Lemma 1.6.** If  $\varphi: (\alpha', \beta') \rightarrow (\alpha, \beta)$  is a diffeomorphism, then  $\varphi'(t) \neq 0$  for all  $t \in (\alpha', \beta')$ .

**Definition 1.11.** If the diffeomorphism  $\varphi' > 0$ , we say that it is orientation preserving. If  $\varphi' < 0$ , we say that it is orientation reversing.

**Definition 1.12.** The arc length of a differentiable curve  $\gamma: (\alpha, \beta) \rightarrow \mathbb{R}^n$ , starting at  $t_0$ , is defined as

$$s(t) = \int_{t_0}^t \|\gamma'(u)\| \, du.$$

We call  $s$  the arc length parameter.

*Remark.* If  $\gamma'(t) \neq 0$ , then  $s'(t) > 0$ .

**Definition 1.13.** A unit speed curve  $\gamma$  is one where  $\|\gamma'\| = 1$

**Lemma 1.7.** Let  $\gamma$  be a regular smooth curve. Then its arc length parameter is a smooth function.

*Proof.* Note that  $\gamma'$  and  $\langle \cdot, \cdot \rangle$  are smooth functions. Thus,

$$\frac{ds}{dt} = \|\gamma'(t)\| = \sqrt{\langle \gamma'(t), \gamma'(t) \rangle} > 0,$$

showing that  $ds/dt$  is smooth. □

**Lemma 1.8.** The arc length function  $s$  is a diffeomorphism onto its image.

*Proof.* This follows from the Inverse Function Theorem, using the smoothness of  $s$ . □

**Lemma 1.9.** Let  $\varphi$  denote  $s^{-1}: (\alpha', \beta') \rightarrow (\alpha, \beta)$ . Then,  $\gamma \circ \varphi$  is a unit speed reparametrization of  $\gamma$ .

*Remark.* Any other unit speed reparametrization is related to  $s$  by shifts and reflections.

*Proof.* Note that  $s$  is strictly increasing, so  $s', \varphi' > 0$ . Now,

$$\|(\gamma \circ \varphi)'(t)\| = \|\gamma'(\varphi(t))\| \cdot |\varphi'(t)| = s'(\varphi(t))\varphi'(t) = (s \circ \varphi)'(t) = 1. \quad \square$$

## 1.4 Curvature

**Definition 1.14.** Let  $\gamma: (\alpha, \beta) \rightarrow \mathbb{R}^n$  be a regular curve. Let  $\Delta s$  be the length of the curve from  $\gamma(t)$  to  $\gamma(t + \Delta t)$ , and let  $\Delta \theta$  be the angle between these two vectors. Then, the curvature of  $\gamma$  at  $\gamma(t)$  is defined as

$$\lim_{\Delta t \rightarrow 0} \frac{\Delta \theta}{\Delta s}.$$

*Remark.* For a unit speed curve, the curvature is precisely  $\|\gamma''(s)\|$ .

*Example.* For a straight line  $a + bt$ , the curvature vanishes identically.

*Example.* For a circle of radius  $R$ , the curvature is  $1/R$ . Note that we parametrize

$$\gamma(s) = (x_0 + R \cos(t/R), y_0 + R \sin(t/R)).$$

**Definition 1.15.** Let  $\gamma: (\alpha, \beta) \rightarrow \mathbb{R}^3$  be a regular  $C^2$  curve. Its curvature is defined as

$$\kappa(t) = \frac{\|\gamma'(t) \times \gamma''(t)\|}{\|\gamma'(t)\|^3}.$$

*Remark.* It is easy to check that the curvature at a point is independent of parametrization.

**Definition 1.16.** Given a  $C^2$  plane curve  $\gamma$  such that  $\ddot{\gamma}(0) \neq 0$ , it is said to turn to the right when  $\det(\dot{\gamma}(0), \ddot{\gamma}(0))$  is negative.

**Definition 1.17.** Let  $\gamma: (\alpha, \beta) \rightarrow \mathbb{R}^n$  be a regular  $C^2$  curve. Its curvature is defined as

$$\kappa(t) = \frac{\|\gamma'' \langle \gamma', \gamma' \rangle - \gamma' \langle \gamma', \gamma'' \rangle\|}{\|\gamma'(t)\|^4}.$$

**Definition 1.18.** Consider a regular smooth curve  $\gamma$ , such that  $\ddot{\gamma}(s) \neq 0$  at  $s$ . Then,  $\dot{\gamma}(s)$  and  $\ddot{\gamma}(s)$  are perpendicular, and span the osculating plane at  $\gamma(s)$ .

**Theorem 1.10.** Consider a regular smooth curve  $\gamma: (\alpha, \beta) \rightarrow \mathbb{R}^n$ , such that  $\ddot{\gamma}(s) \neq 0$  at  $s$ .

1. For  $s_1, s_2, s_3$  sufficiently close to  $s$ , the points  $\gamma(s_i)$  are not colinear.
2. As  $s_1, s_2, s_3$  tend to  $s$ , the planes  $A(s_1, s_2, s_3)$  tend to the osculating plane at  $\gamma(s)$ .
3. The circumcircle  $C(s_1, s_2, s_3)$  associated with these points tend to a circle  $C(s)$  lying in the osculating plane which passes through  $\gamma(s)$ . Furthermore, this has radius  $1/\|\ddot{\gamma}(s)\|$ .
4. For  $s_1$  sufficiently close to  $s$ , there is a unique plane containing  $\gamma(s_1)$  and the tangent line to  $\gamma$  at  $s$ . As  $s_1$  tends to  $s$ , these planes converge to the osculating plane at  $\gamma(s)$ .

*Proof.* Let  $\{(s_{1n}, s_{2n}, s_{3n})\}_{n=1}^{\infty}$  be a sequence converging to  $(s, s, s)$ ; assume that  $s_{1n} < s_{2n} < s_{3n}$ . Suppose that  $\gamma(s_{1n}), \gamma(s_{2n}), \gamma(s_{3n})$  line on a line  $\ell_n$ , for every  $n$ . Define the planes  $V_n = \ell_n^\perp$ , and look at the functions

$$f_n^v(t) = \langle \gamma(t) - \gamma(s_{1n}), v \rangle, \quad v \in V_n.$$

Notice that  $s_{1n}, s_{2n}, s_{3n}$  are zeroes of  $f_n^v$ . Thus, we can choose  $s_{12n}, s_{23n}$ , where  $s_{1n} \neq s_{12n} \leq s_{2n} \leq s_{23n} \leq s_{3n}$ , such that  $(f_n^v)'(s_{12n}) = (f_n^v)'(s_{23n}) = 0$ . This gives

$$\langle \gamma'(s_{12n}), v \rangle = \langle \gamma'(s_{23n}), v \rangle = 0.$$

Repeating yields a point  $s_n$  such that  $\langle \gamma''(s_n), v \rangle = 0$ . Now, there is a neighbourhood of  $s$  on which

$$\|\gamma'(u) - \gamma'(s)\| < \epsilon, \quad \|\gamma''(u) - \gamma''(s)\| < \epsilon.$$

As a result,

$$\langle \gamma'(s), v \rangle \leq \|v\|\epsilon, \quad \langle \gamma''(s), v \rangle \leq \|v\|\epsilon.$$

Thus, given a vector in the osculating plane,  $w = a\gamma'(s) + b\gamma''(s)$ , we have  $\|w\|^2 = a^2 + b^2k^2$ , and

$$|\langle w, v \rangle| \leq (|a| + |b|)\|v\|\epsilon \leq c\|w\|\|v\|\epsilon.$$

This means that the osculating plane is part of the  $\epsilon$ -perpendicular region to  $V_n$ . □

**Lemma 1.11.** *Let  $d$  be the Euclidean distance between  $\gamma(0)$  and  $\gamma(s)$ , and  $s$  be the arc length between these two points. Then,*

$$\lim_{s \rightarrow 0} \frac{d}{s} = 1, \quad \lim_{s \rightarrow 0} \frac{d - s}{s^3} = -\frac{1}{24} \|\ddot{\gamma}(0)\|^2$$

## 1.5 Torsion

**Definition 1.19.** Let  $\gamma: (\alpha, \beta) \rightarrow \mathbb{R}^3$  be a  $C^2$ , regular space curve such that  $\ddot{\gamma}(s) \neq 0$ . The torsion of  $\gamma(s)$  is defined as

$$\tau(s) = \lim_{\Delta s \rightarrow 0} \frac{\Delta \theta}{\Delta s},$$

where  $\Delta \theta$  is the angle between the osculating planes to  $\gamma$  at  $s$  and  $s + \Delta s$ .

*Remark.* When we talk of the angle between two planes, we examine the normals

$$n(s) = \frac{\dot{\gamma}(s) \times \ddot{\gamma}(s)}{\|\dot{\gamma}(s) \times \ddot{\gamma}(s)\|}.$$

**Lemma 1.12.** *The torsion at  $\gamma(s)$  can be expressed at*

$$\tau(s) = \frac{\|(\dot{\gamma}(s) \times \ddot{\gamma}(s)) \cdot \ddot{\gamma}(s)\|}{\|\ddot{\gamma}(s)\|^2}.$$

**Lemma 1.13.** *The torsion at  $\gamma(s)$  can be expressed at*

$$\tau(t) = \frac{\det(\gamma'(t) \ \gamma''(t) \ \gamma'''(t))}{\|\gamma'(t) \times \gamma''(t)\|^2}$$

## 1.6 Frenet-Serret formulas

**Definition 1.20.** The tangent, principal normal, and binormal at  $\gamma(s)$  are

$$t(s) = \dot{\gamma}(s), \quad n(s) = \frac{\ddot{\gamma}(s)}{\|\ddot{\gamma}(s)\|}, \quad b(s) = t(s) \times n(s).$$

**Theorem 1.14** (Frenet-Serret). *For a unit speed curve with nowhere vanishing curvature, the following holds.*

$$\begin{bmatrix} t' \\ n' \\ b' \end{bmatrix} = \begin{bmatrix} 0 & \kappa & 0 \\ -\kappa & 0 & \tau \\ 0 & -\tau & 0 \end{bmatrix} \begin{bmatrix} t \\ n \\ b \end{bmatrix}$$

**Corollary 1.14.1.** *A regular space curve with non-zero curvature everywhere is planar if and only if  $\tau = 0$ .*

**Corollary 1.14.2.** *Under suitable conditions, a space curve is completely determined by  $\kappa, \tau$ . Two unit speed curves having the same curvature and torsion functions are identical, up to a rotation and a shift.*

*Example.* Suppose that a unit speed space curve  $\gamma$  lies entirely on a sphere of radius  $r$ . Then we have

$$\begin{aligned} \|\gamma - a\|^2 &= r^2, \\ 2\dot{\gamma} \cdot (\gamma - a) &= 0, \\ t \cdot (\gamma - a) &= 0, \\ \dot{t} \cdot (\gamma - a) + t \cdot \dot{\gamma} &= 0, \\ \kappa n \cdot (\gamma - a) + 1 &= 0, \\ n \cdot (\gamma - a) &= -1/\kappa, \\ \dot{n} \cdot (\gamma - a) + n \cdot \dot{\gamma} &= \dot{\kappa}/\kappa^2, \\ -\kappa t \cdot (\gamma - a) + \tau b \cdot (\gamma - a) &= \dot{\kappa}/\kappa^2, \\ b \cdot (\gamma - a) &= \dot{\kappa}/\kappa^2 \tau, \\ \dot{b} \cdot (\gamma - a) + b \cdot \dot{\gamma} &= (\dot{\kappa}/\kappa^2 \tau)', \\ -\tau n \cdot (\gamma - a) &= (\dot{\kappa}/\kappa^2 \tau)', \\ \tau/\kappa &= (\dot{\kappa}/\kappa^2 \tau)'. \end{aligned}$$

Thus, our curve satisfies

$$\frac{\tau}{\kappa} = \frac{d}{ds} \left( \frac{\dot{\kappa}}{\kappa^2 \tau} \right).$$



By setting  $\rho = 1/\kappa$ ,  $\sigma = 1/\tau$ , this reads

$$\rho = -\sigma \frac{d}{ds}(\dot{\rho}\sigma).$$

Conversely, assume that the above holds. Consider the quantity  $\rho^2 + (\dot{\rho}\sigma)^2$ ; differentiating this gives

$$2\rho\dot{\rho} + 2\dot{\rho}\sigma \frac{d}{ds}(\dot{\rho}\sigma) = 2\rho\dot{\rho} + 2\dot{\rho}(-\rho) = 0.$$

Thus, we have

$$\rho^2 + (\dot{\rho}\sigma)^2 = r^2$$

for some positive constant  $r$ . Now, define the curve

$$\alpha = \gamma + \rho n + \dot{\rho}\sigma b.$$

Then,

$$\dot{\alpha} = \dot{\gamma} + \dot{\rho}n + \rho\dot{n} + \frac{d}{ds}(\dot{\rho}\sigma)b + (\dot{\rho}\sigma)\dot{b} = t + \dot{\rho}n - \rho\kappa t + \rho\tau b - \rho/\sigma b - \dot{\rho}\sigma\tau n = 0.$$

This means that the curve  $\alpha$  is constant, say  $\alpha = a$ , whence

$$\|\gamma - a\|^2 = \|\rho n + \dot{\rho}\sigma b\|^2 = \rho^2 + (\dot{\rho}\sigma)^2 = r^2,$$

hence  $\gamma$  lies on a sphere.

## 1.7 Evolutes and involutes

**Definition 1.21.** The evolute of a curve is the locus of the centres of its osculating circles.

*Remark.* Consider a curve  $\gamma$ , with normal  $n$  and radius of curvature  $\rho = 1/\kappa$ . Then the equation of its evolute is given by  $\gamma + \rho n$ .

*Example.* The evolute of a circle is a constant curve, namely its centre.

*Example.* The evolute of a cycloid is a shifted copy of itself.

**Definition 1.22.** The involute of a curve is the locus of a point on a piece of taut string, as the string is wrapped around the curve.

*Remark.* Consider a curve  $\gamma$ , with tangent  $t$ . Then the equations of its involutes are given by  $\gamma - t(s - a)$ , for different choices of  $a$ . All such involutes are merely shifted copies of themselves.

**Theorem 1.15.** *The evolute of an involute of a curve is the curve itself.*

## 2 Surfaces

### 2.1 Introduction

**Definition 2.1.** A surface  $\Sigma \subseteq \mathbb{R}^3$  is a subset satisfying the property that for any  $p \in \Sigma$ , there exists an open set  $W \subseteq \mathbb{R}^3$ , an open set  $U \subseteq \mathbb{R}^2$ , and a homeomorphism  $\varphi: U \rightarrow W \cap \Sigma$ .

*Remark.* The pair  $(W \cap \Sigma, \varphi^{-1})$  is called a chart around  $p$ .

*Remark.* Without loss of generality, we can demand that the open set  $U \subseteq \mathbb{R}^2$  be the unit disc centred at 0.

*Example.* Any affine plane in  $\mathbb{R}^3$  is a surface.

*Example.* The unit sphere  $S^2$  is a surface. Note that the north hemisphere is homeomorphic to the unit disc via a projection map; this gives us a chart for  $(0, 0, 1)$ . By symmetry, we can produce similar charts for any point on the sphere.

*Remark.* The sphere cannot be realized as a surface using only a single chart. This is because  $S^2$  is compact while the unit disc is not, hence they cannot be homeomorphic.

*Example.* The cylinder defined by  $x^2 + y^2 = 1$  is a surface. Note that we can produce the homeomorphism  $(1, \theta, z) \mapsto (e^z, \theta)$ , which maps the cylinder to  $\mathbb{R}^2 \setminus 0$ .

*Remark.* Note that the cylinder is just  $S^1 \times \mathbb{R}$ , and the plane minus the origin is just  $S^1 \times (0, \infty)$ . Thus we need only find a homeomorphism mapping  $\mathbb{R} \rightarrow (0, \infty)$ .

*Example.* The following is a parametrization of a torus.

$$(u, v) \mapsto ((a + b \cos u) \cos v, (a + b \cos u) \sin v, b \sin v).$$

The torus is also the zero set of the map

$$(x, y, z) \mapsto (\sqrt{x^2 + y^2} - a)^2 + z^2 - b^2.$$

*Example.* Let  $U \subseteq \mathbb{R}^2$  be open, and let  $f: U \rightarrow \mathbb{R}$  be continuous. The graph of  $f$ , which is the set  $\Gamma_f = \{(x, y, f(x, y)) : (x, y) \in U\}$ , is a surface.

**Lemma 2.1.** All homeomorphisms  $\psi: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  take surfaces to surfaces.

*Example.* In particular, all rigid motions take surfaces to surfaces.

### 2.2 Smooth surfaces

**Theorem 2.2.** Let  $a$  be a regular value of the smooth map  $f: U \rightarrow \mathbb{R}$ , where  $U \subseteq \mathbb{R}^3$  is open. Then,  $S = f^{-1}(a)$  is a surface.

**Definition 2.2.** A surface  $\Sigma \subset \mathbb{R}^3$  is called smooth if it admits a covering by charts  $\{(U_\alpha, \varphi_\alpha)\}_{\alpha \in \Lambda}$  such that  $\varphi_\alpha^{-1}: \varphi_\alpha(U_\alpha) \rightarrow \mathbb{R}^3$  is smooth and  $D_u(\varphi_\alpha^{-1}), D_v(\varphi_\alpha^{-1})$  are linearly independent everywhere.

*Remark.* Such charts are called regular.

**Lemma 2.3.** Let  $\Sigma$  be a smooth surface. For every  $p \in \Sigma$ , there exists a smooth function  $\varphi: U \rightarrow \mathbb{R}^2$  where  $U \subseteq \mathbb{R}^2$  is open, such that  $\Gamma_\varphi$  defines a regular chart of  $\Sigma$  around  $p$ .

### 2.3 Orientability

**Definition 2.3.** Consider two charts  $\varphi: U \rightarrow M, \psi: V \rightarrow M$  such that  $W = \varphi(U) \cap \psi(V)$  is non-empty. This means that we have two choices of applying coordinates on the intersection. The transition map on this patch is  $\psi^{-1} \circ \varphi$ , which is a homeomorphism between  $\varphi^{-1}(W)$  and  $\psi^{-1}(W)$ .

**Definition 2.4.** An oriented atlas for a smooth surface  $\Sigma$  is one in which the determinants of the linearized transitions maps are all positive.

*Remark.* A surface which admits an oriented atlas is called an orientable surface.

*Example.* Spheres, tori, and their connected sums are all orientable.

*Example.* The Möbius strip and the Klein bottle are non-orientable.

*Example.* The real projective plane  $\mathbb{R}P^2$  is non-orientable.

**Definition 2.5.** Let  $\sigma: U \rightarrow \Sigma$  describe a chart on a patch  $\sigma(U) \subseteq \Sigma$ , where  $U \subseteq \mathbb{R}^2$  is open. Further let  $p \in \sigma(U)$  be a point in this patch on the surface. Then, the map  $\sigma$  describes a set of local coordinates at  $p$ , which in turn can be used to describe a normal to the surface at  $p$  as follows.

$$\mathbb{N}^\sigma(p) = \frac{\sigma_u \times \sigma_v}{\|\sigma_u \times \sigma_v\|}.$$

**Lemma 2.4.** Consider two regular charts  $\sigma, \tilde{\sigma}$  whose associated surface patches overlap. Then, the transition map  $\varphi = \sigma^{-1} \circ \tilde{\sigma}$  is a diffeomorphism between the domains of  $\sigma, \tilde{\sigma}$ . If  $p$  is a point in this overlap, then the normals described by these charts to the surface at  $p$  are related as

$$\mathbb{N}^{\tilde{\sigma}}(p) = \text{sgn}(\det D\varphi) \mathbb{N}^{\sigma}(p).$$

*Remark.* The normal vector at  $p$  is orthogonal to the tangent space  $T_p\Sigma$ .

*Remark.* We have the relation

$$\tilde{\sigma}_{\tilde{u}} \times \tilde{\sigma}_{\tilde{v}} = \det(D\varphi) \sigma_u \times \sigma_v.$$

**Definition 2.6.** Let  $\Sigma$  be an oriented surface. Given a point  $p \in \Sigma$ , the normal vectors  $\mathbb{N}^{\sigma}(p)$  all agree irrespective of our choice of chart. We can now define the Gauss map  $\mathbb{N}: \Sigma \rightarrow S^2$  which assigns a normal vector to each point  $p \in \Sigma$  in our surface.

**Lemma 2.5.** The following are equivalent for surfaces  $\Sigma \subset \mathbb{R}^3$ .

1. There exists an oriented atlas for  $\Sigma$ .
2. There exists a unit normal vector field  $\mathbb{N}: \Sigma \rightarrow S^2$  such that  $\mathbb{N}(p) \perp T_p\Sigma$  at every point  $p \in \Sigma$ .

**Theorem 2.6.** Let  $f: \mathbb{R}^3 \rightarrow \mathbb{R}$  be a smooth map. If  $a \in \mathbb{R}$  is a regular value of  $f$ , then  $f^{-1}(a) = \Sigma_a$  is an orientable smooth surface.

*Remark.* Given  $p \in \Sigma_a$ , it can be shown that  $\nabla f \perp T_p\Sigma_a$ , hence we have the unit normal vector field  $\nabla f / \|\nabla f\|$ .

## 2.4 The First and Second Fundamental Forms

**Definition 2.7.** The following symmetric, non-degenerate, bilinear form is called the First Fundamental Form.

$$I_p: T_p\Sigma \times T_p\Sigma \rightarrow \mathbb{R}, \quad (v, w) \mapsto \langle v, w \rangle.$$

Since  $\sigma_u, \sigma_v$  form a basis of  $T_p\Sigma$ , we set

$$E = \langle \sigma_u, \sigma_u \rangle, \quad F = \langle \sigma_u, \sigma_v \rangle, \quad G = \langle \sigma_v, \sigma_v \rangle.$$

and we denote

$$I_p^{\sigma} \equiv \begin{bmatrix} E & F \\ F & G \end{bmatrix} \equiv E du^2 + 2F du dv + G dv^2.$$

**Lemma 2.7.** Consider two regular charts  $\sigma, \tilde{\sigma}$  whose associated surface patches overlap. Then, the transition map  $\varphi = \sigma^{-1} \circ \tilde{\sigma}$  is a diffeomorphism between the domains of  $\sigma, \tilde{\sigma}$ . If  $p$  is a point in this overlap, then the values  $E, F, G$  and  $\tilde{E}, \tilde{F}, \tilde{G}$  are related as

$$\begin{bmatrix} \tilde{E} & \tilde{F} \\ \tilde{F} & \tilde{G} \end{bmatrix} = D\varphi^\top \begin{bmatrix} E & F \\ F & G \end{bmatrix} D\varphi.$$

**Lemma 2.8.**

$$\|\sigma_u \times \sigma_v\|^2 = \det \begin{bmatrix} E & F \\ F & G \end{bmatrix}.$$

**Definition 2.8.** Let  $(U, \sigma)$  be a regular chart, and let  $R \subseteq U$  be open. The area of the region  $\sigma(R)$  is defined as

$$A_\sigma(R) = \iint_R \|\sigma_u \times \sigma_v\| \, du \, dv.$$

**Definition 2.9.** A regular chart  $(U, \sigma)$  is called conformal if  $E \equiv G$  and  $F \equiv 0$ . Thus, the First Fundamental Form looks like

$$I_p^\sigma = f(u, v)(du^2 + dv^2),$$

for some positive function  $f$ . The resultant coordinates  $u, v$  are called isothermal.

*Remark.* Conformal charts are angle preserving.

**Definition 2.10.** Let  $(U, \sigma)$  be a regular chart. Set

$$\begin{aligned} L &= \sigma_{uu} \cdot \mathbb{N}^\sigma = -\sigma_u \cdot \mathbb{N}_u^\sigma, \\ M &= \sigma_{uv} \cdot \mathbb{N}^\sigma = -\sigma_u \cdot \mathbb{N}_v^\sigma = -\sigma_v \cdot \mathbb{N}_u^\sigma, \\ N &= \sigma_{vv} \cdot \mathbb{N}^\sigma = -\sigma_v \cdot \mathbb{N}_v^\sigma. \end{aligned}$$

The Second Fundamental Form is denoted

$$\mathbb{I}_p^\sigma \equiv \begin{bmatrix} L & M \\ M & N \end{bmatrix} \equiv L \, du^2 + 2M \, du \, dv + N \, dv^2.$$

**Lemma 2.9.** Let  $\varphi = \sigma^{-1} \circ \tilde{\sigma}$  be a transition map between two regular charts. Set

$$J = D\varphi = \begin{bmatrix} \partial u / \partial \tilde{u} & \partial u / \partial \tilde{v} \\ \partial v / \partial \tilde{u} & \partial v / \partial \tilde{v} \end{bmatrix}.$$

Then, the values  $L, M, N$ , and  $\tilde{L}, \tilde{M}, \tilde{N}$  are related as

$$\begin{bmatrix} \tilde{L} & \tilde{M} \\ \tilde{M} & \tilde{N} \end{bmatrix} = \text{sgn}(\det J) J^T \begin{bmatrix} L & M \\ M & N \end{bmatrix} J.$$

*Example.* Consider the following parametrization of a sphere.

$$\sigma: (-\pi/2, \pi/2) \times (0, 2\pi) \rightarrow \mathbb{R}^3, \quad (u, v) \mapsto (R \cos u \cos v, R \cos u \sin v, R \sin u).$$

We can compute

$$\begin{aligned} \sigma_u(u, v) &= (-R \sin u \cos v, -R \sin u \sin v, R \cos u), \\ \sigma_v(u, v) &= (-R \cos u \sin v, R \cos u \cos v, 0), \\ \sigma_u \times \sigma_v &= (-R^2 \cos^2 u \cos v, -R^2 \cos^2 u \sin v, -R^2 \cos u \sin u), \\ \|\sigma_u \times \sigma_v\| &= R^2 \cos u, \\ \mathbb{N}^\sigma(u, v) &= (-\cos u \cos v, -\cos u \sin v, -\sin u) = -\sigma/R, \\ \mathbb{N}_u^\sigma(u, v) &= (\sin u \cos v, \sin u \sin v, -\cos u) \\ \mathbb{N}_v^\sigma(u, v) &= (\cos u \sin v, -\cos u \cos v, 0). \end{aligned}$$

Thus,

$$\begin{aligned} E &= R^2, & F &= 0, & G &= R^2 \cos^2 u, \\ L &= R, & M &= 0, & N &= R \cos^2 u. \end{aligned}$$

The First and Second Fundamental Forms are

$$\text{I}^\sigma = R^2(du^2 + \cos^2 u dv^2), \quad \text{II}^\sigma = R(du^2 + \cos^2 u dv^2).$$

*Example.* Consider the following parametrization of a torus.

$$\sigma: (0, 2\pi) \times (0, 2\pi) \rightarrow \mathbb{R}^3, \quad (u, v) \mapsto ((a + b \cos u) \cos v, (a + b \cos u) \sin v, b \sin u).$$

We can compute

$$\begin{aligned} \sigma_u(u, v) &= (-b \sin u \cos v, -b \sin u \sin v, b \cos u), \\ \sigma_v(u, v) &= (-(a + b \cos u) \sin v, (a + b \cos u) \cos v, 0), \\ \sigma_u \times \sigma_v &= (-b(a + b \cos u) \cos u \cos v, -b(a + b \cos u) \cos u \sin v, -b(a + b \cos u) \sin u), \\ \|\sigma_u \times \sigma_v\| &= b(a + b \cos u) \\ \mathbb{N}^\sigma(u, v) &= (-\cos u \cos v, -\cos u \sin v, -\sin u) \\ \mathbb{N}_u^\sigma(u, v) &= (\sin u \cos v, \sin u \sin v, -\cos u) = -\frac{1}{b} \sigma_u \\ \mathbb{N}_v^\sigma(u, v) &= (\cos u \sin v, -\cos u \cos v, 0) = -\frac{\cos u}{a + b \cos u} \sigma_v. \end{aligned}$$

Thus,

$$\begin{aligned} E &= b^2, & F &= 0, & G &= (a + b \cos u)^2, \\ L &= b, & M &= 0, & N &= (a + b \cos u) \cos u. \end{aligned}$$

The First and Second Fundamental Forms are

$$\mathbf{I}^\sigma = b^2 du^2 + (a + b \cos u)^2 dv^2, \quad \mathbf{II}^\sigma = b du^2 + (a + b \cos u) \cos u dv^2.$$

## 2.5 Curvature and the Shape Operator

**Definition 2.11.** The shape operator  $\mathcal{S}_p: T_p\Sigma \rightarrow T_p\Sigma$  is defined as follows. Given  $v \in T_p\Sigma$ , pick a representative curve  $\gamma: (-\epsilon, \epsilon) \rightarrow \Sigma$  so that  $v = [\dot{\gamma}]$ . Next, set  $\eta = \sigma^{-1} \circ \gamma$ , and compute  $w = -(d/dt)(\mathbb{N}^\sigma \circ \eta)|_{t=0} \in T_{\sigma^{-1}(p)}U$ . Finally, identify  $w$  with its corresponding element in  $T_p\Sigma$ .

*Remark.* We have  $\mathcal{S}_p \equiv -D\mathbb{N}_p^\sigma$ .

**Lemma 2.10.** *The shape operator is self-adjoint.*

*Proof.* We claim that

$$\langle \mathcal{S}_p v, w \rangle = \langle v, \mathcal{S}_p w \rangle$$

for all  $v, w \in T_p\Sigma$ . It is sufficient to check this for the basis vectors  $\sigma_u, \sigma_v$ . Now,

$$\langle \mathcal{S}_p \sigma_u, \sigma_u \rangle = \langle -D_{\partial_u} \mathbb{N}^\sigma|_p, \sigma_u \rangle = -\langle \mathbb{N}_u^\sigma, \sigma_u \rangle = L.$$

It can be similarly checked that

$$\langle \mathcal{S}_p \sigma_u, \sigma_v \rangle = \langle \mathcal{S}_p \sigma_v, \sigma_u \rangle = M, \quad \langle \mathcal{S}_p \sigma_v, \sigma_v \rangle = N. \quad \square$$

**Lemma 2.11.** *The matrix of  $\mathcal{S}_p^\sigma$  in the basis  $\{\sigma_u, \sigma_v\}$  is given by*

$$\mathcal{S}_p^\sigma = \mathbf{I}_p^{-1} \mathbf{II}_p = \begin{bmatrix} E & F \\ F & G \end{bmatrix}^{-1} \begin{bmatrix} L & M \\ M & N \end{bmatrix}.$$

Furthermore, let  $\varphi = \sigma^{-1} \circ \tilde{\sigma}$  be a transition map between two regular charts, and set  $J = D\varphi$ . Then,

$$\mathcal{S}_p^{\tilde{\sigma}} = \pm J^{-1} \mathcal{S}_p^\sigma J.$$

**Corollary 2.11.1.** *The product of the eigenvalues of  $\mathcal{S}_p$  is invariant under coordinate transformations, and the sum of the eigenvalues changes by at most a sign.*

**Definition 2.12.** Let  $k_1, k_2$  be the two eigenvalues of  $\mathcal{S}_p^\sigma$ . These are called the principal curvatures of  $\Sigma$  at  $p$ . We further define the Gaussian curvature

$$K = k_1 k_2 = \det \mathcal{S}_p^\sigma$$

and the mean curvature

$$H = \frac{1}{2}(k_1 + k_2) = \frac{1}{2} \text{trace } \mathcal{S}_p^\sigma.$$

*Remark.* This immediately gives

$$K = \frac{LN - M^2}{EG - F^2}.$$

**Lemma 2.12.**

$$\mathbb{N}_u^\sigma \times \mathbb{N}_v^\sigma = K \sigma_u \times \sigma_v.$$

*Proof.* Note that  $\sigma_u, \sigma_v$  forms a basis of  $T_p\Sigma$ , hence we can expand

$$\mathbb{N}_u^\sigma = a\sigma_u + b\sigma_v, \quad \mathbb{N}_v^\sigma = c\sigma_u + d\sigma_v.$$

Now,

$$\begin{aligned} -L &= \mathbb{N}_u^\sigma \cdot \sigma_u = aE + bF, \\ -M &= \mathbb{N}_u^\sigma \cdot \sigma_v = aF + bG, \\ -M &= \mathbb{N}_v^\sigma \cdot \sigma_u = cE + dF, \\ -N &= \mathbb{N}_v^\sigma \cdot \sigma_v = cF + dG. \end{aligned}$$

Then,

$$\begin{bmatrix} -L & -M \\ -M & -N \end{bmatrix} = \begin{bmatrix} E & F \\ F & G \end{bmatrix} \begin{bmatrix} a & c \\ b & d \end{bmatrix}, \quad \begin{bmatrix} a & c \\ b & d \end{bmatrix} = - \begin{bmatrix} E & F \\ F & G \end{bmatrix}^{-1} \begin{bmatrix} L & M \\ M & N \end{bmatrix}$$

Thus,

$$\mathbb{N}_u^\sigma \times \mathbb{N}_v^\sigma = (ad - bc) \sigma_u \times \sigma_v = \det(\mathcal{S}_p^\sigma) \sigma_u \times \sigma_v = K \sigma_u \times \sigma_v. \quad \square$$

**Corollary 2.12.1.** Let the Gauss map  $\mathbb{N}$  map  $U \subseteq \mathbb{R}^2$  bijectively to  $\mathbb{N}(U) \subseteq S^2$  bijectively. Then,

$$\iint_{\sigma(U)} |K| dA = A(\mathbb{N}(U)).$$

**Definition 2.13.** A surface  $\Sigma$  is called minimal if  $H \equiv 0$ .

*Remark.* Note that  $H = 0$  forces  $K \leq 0$ .



**Theorem 2.13.** *If  $\Sigma$  is a compact surface, then there exists a point  $p \in \Sigma$  such that  $K(p) > 0$ .*

*Proof.* Consider the map

$$f: \Sigma \rightarrow \mathbb{R}, \quad v \mapsto \|v\|^2.$$

This map must attain its maximum and minimum at points  $p^+, p^- \in \Sigma$ . At such points,  $Df_p \equiv 0$ , but

$$Df_p: T_p\Sigma \rightarrow T_{f(p)}\mathbb{R}, \quad v \mapsto 2\langle p, v \rangle.$$

This shows that  $p \perp T_p\Sigma$ , hence  $N_p^\sigma = \pm \hat{p}$  when  $p$  is such an extremal point. Consider a regular curve  $\gamma \in T_{p^+}\Sigma$ ; note that  $f \circ \gamma$  attains its maximum at 0, hence

$$\left. \frac{d}{dt} f(\gamma(t)) \right|_{t=0} = 0, \quad \left. \frac{d^2}{dt^2} f(\gamma(t)) \right|_{t=0} \leq 0.$$

Thus,

$$2\langle \gamma(0), \dot{\gamma}(0) \rangle = 0, \quad 2[\|\dot{\gamma}(0)\|^2 + \langle \gamma(0), \ddot{\gamma}(0) \rangle] \leq 0.$$

Now  $\|\dot{\gamma}\| = 1$ ,  $\gamma(0) = p^+$ , and  $\|\ddot{\gamma}(0)\|$  is the curvature  $\kappa$ , so  $\kappa \geq -1/\|p^+\|$ . Hence, the principal curvatures at  $p^+$  also satisfy this constraint giving

$$K(p^+) = k_1 k_2 \geq \frac{1}{\|p^+\|^2} > 0.$$

The same can be said of  $K(p^-)$ ; note that  $\|p\| > 0$  at these points without loss of generality, as we can always shift the surface  $\Sigma$  so as not to enclose the origin.  $\square$

**Corollary 2.13.1.** *There are no closed (compact, without boundary) minimal surfaces in  $\mathbb{R}^3$ .*

**Theorem 2.14 (Liebmann).** *If  $\Sigma$  is a connected smooth compact surface of constant Gaussian curvature  $K$ , then  $K > 0$  and  $\Sigma$  is a sphere of radius  $1/\sqrt{K}$ .*

**Theorem 2.15 (Hopf).** *If  $\Sigma$  is a smooth surface homeomorphic to a sphere and with constant mean curvature  $H$ , then  $\Sigma$  is a sphere of radius  $1/|H|$ .*

**Theorem 2.16.** Let  $k_1, k_2$  be the principal curvatures of  $\Sigma$  for a regular surface patch  $(U, \sigma)$ . Assume that  $|k_1|, |k_2| < c$  on  $\sigma(U)$ , and let  $\lambda$  be a constant such that  $\lambda < 1/c$ . Set

$$\sigma^\lambda = \sigma + \lambda \mathbb{N}^\sigma.$$

Then, the following hold.

1.  $\sigma^\lambda$  also describes a regular patch.

2.  $\mathbb{N}^{\sigma^\lambda} = \mathbb{N}^\sigma$ .

3.

$$k_1(\sigma^\lambda) = \frac{k_1}{1 - \lambda k_1}, \quad k_2(\sigma^\lambda) = \frac{k_2}{1 - \lambda k_2}.$$

4.

$$K(\sigma^\lambda) = \frac{K}{1 - 2\lambda H + \lambda^2 K}, \quad H(\sigma^\lambda) = \frac{H - \lambda K}{1 - 2\lambda H + \lambda^2 K}.$$

5.

$$A(\Sigma^\lambda) = A(\Sigma) - 2\lambda \int_\Sigma H \, dA + \lambda^2 \int_\Sigma K \, dA.$$

## 2.6 Gauss's Theorema Egregium

**Theorem 2.17.** Let  $(U, \sigma)$  be a regular surface patch, and further let it be an orthogonal patch, i.e.  $F \equiv 0$ . Then, the Gaussian curvature is given by

$$K = -\frac{1}{2\sqrt{EG}} \left[ \left( \frac{E_v}{\sqrt{EG}} \right)_v + \left( \frac{G_u}{\sqrt{EG}} \right)_u \right].$$

*Remark.* If we further set  $E \equiv G \equiv e^{2\rho}$ , then

$$K = -e^{-2\rho}(\rho_{uu} + \rho_{vv}).$$

*Proof.* Fix a point  $p \in U$ , and note that the vectors  $\sigma_u, \sigma_v, \mathbb{N}^\sigma$  form an orthogonal basis. Thus, we can expand

$$\begin{aligned} \sigma_{uu} &= \Gamma_{uu}^u \sigma_u + \Gamma_{uu}^v \sigma_v + L \mathbb{N}^\sigma, \\ \sigma_{uv} &= \Gamma_{uv}^u \sigma_u + \Gamma_{uv}^v \sigma_v + M \mathbb{N}^\sigma, \\ \sigma_{vv} &= \Gamma_{vv}^u \sigma_u + \Gamma_{vv}^v \sigma_v + N \mathbb{N}^\sigma, \end{aligned}$$

and

$$\begin{aligned} \mathbb{N}_u^\sigma &= -\frac{L}{E} \sigma_u - \frac{M}{G} \sigma_v, \\ \mathbb{N}_v^\sigma &= -\frac{M}{E} \sigma_u - \frac{N}{G} \sigma_v. \end{aligned}$$

The functions  $\Gamma_{\alpha\beta}^\gamma$  are called *Christoffel symbols*. Now,

$$\begin{aligned} E_u &= \frac{\partial}{\partial u}(\sigma_u \cdot \sigma_u) = 2\sigma_u \cdot \sigma_{uu} = 2\Gamma_{uu}^u E, & \Gamma_{uu}^u &= \frac{E_u}{2E}, \\ E_v &= \frac{\partial}{\partial v}(\sigma_u \cdot \sigma_u) = 2\sigma_u \cdot \sigma_{uv} = 2\Gamma_{uv}^u E, & \Gamma_{uv}^u &= \frac{E_v}{2E}, \\ G_u &= \frac{\partial}{\partial u}(\sigma_v \cdot \sigma_v) = 2\sigma_v \cdot \sigma_{uv} = 2\Gamma_{uv}^v G, & \Gamma_{uv}^v &= \frac{G_u}{2G}, \\ G_v &= \frac{\partial}{\partial v}(\sigma_v \cdot \sigma_v) = 2\sigma_v \cdot \sigma_{vv} = 2\Gamma_{vv}^v G, & \Gamma_{vv}^v &= \frac{G_v}{2G}. \end{aligned}$$

Finally, note that  $\sigma_u \cdot \sigma_v = 0$ , hence differentiating gives

$$\begin{aligned} 0 &= \sigma_v \cdot \sigma_{uu} + \sigma_u \cdot \sigma_{uv} = \Gamma_{uu}^v G + \Gamma_{uv}^u E, & \Gamma_{uu}^v &= -\frac{E_v}{2G}, \\ 0 &= \sigma_u \cdot \sigma_{vv} + \sigma_v \cdot \sigma_{uv} = \Gamma_{vv}^u E + \Gamma_{uv}^v G, & \Gamma_{uv}^v &= -\frac{G_u}{2E}. \end{aligned}$$

Now, we use Clairaut's theorem to equate the mixed partials  $\sigma_{uvv} = \sigma_{vvu}$ . Computing,

$$\begin{aligned} \sigma_{uvv} &= (\Gamma_{uu}^u)_v \sigma_u + \Gamma_{uu}^u \sigma_{uv} + (\Gamma_{uu}^v)_v \sigma_v + \Gamma_{uu}^v \sigma_{vv} + L_v \mathbb{N}^\sigma + L \mathbb{N}_v^\sigma \\ &= \left[ (\Gamma_{uu}^u)_v + \Gamma_{uu}^u \Gamma_{uv}^u + \Gamma_{uu}^v \Gamma_{vv}^u - \frac{LM}{E} \right] \sigma_u + \left[ \Gamma_{uu}^u \Gamma_{uv}^v + (\Gamma_{uu}^v)_v + \Gamma_{uu}^v \Gamma_{vv}^v - \frac{LN}{G} \right] \sigma_v \\ &\quad + [\Gamma_{uu}^u M + \Gamma_{uu}^v N + L_v] \mathbb{N}^\sigma. \end{aligned}$$

$$\begin{aligned} \sigma_{vvu} &= (\Gamma_{uv}^u)_u \sigma_u + \Gamma_{uv}^u \sigma_{uu} + (\Gamma_{uv}^v)_u \sigma_v + \Gamma_{uv}^v \sigma_{uv} + M_u \mathbb{N}^\sigma + M \mathbb{N}_u^\sigma \\ &= \left[ (\Gamma_{uv}^u)_u + \Gamma_{uv}^u \Gamma_{uu}^u + \Gamma_{uv}^v \Gamma_{uv}^u - \frac{LM}{E} \right] \sigma_u + \left[ \Gamma_{uv}^u \Gamma_{uv}^v + (\Gamma_{uv}^v)_u + (\Gamma_{uv}^v)^2 - \frac{M^2}{G} \right] \sigma_v \\ &\quad + [\Gamma_{uv}^u L + \Gamma_{uv}^v M + M_u] \mathbb{N}^\sigma. \end{aligned}$$

Equating the coefficients of  $\sigma_v$ , we have

$$\frac{LN - M^2}{G} = (\Gamma_{uu}^v)_v - (\Gamma_{uv}^v)_u + \Gamma_{uu}^u \Gamma_{uv}^v + \Gamma_{uu}^v \Gamma_{vv}^v - \Gamma_{uv}^u \Gamma_{uv}^v - (\Gamma_{uv}^v)^2.$$

Identifying that the Gaussian curvature  $K = (LN - M^2)/EG$ , we have shown that

$$EK = (\Gamma_{uu}^v)_v - (\Gamma_{uv}^v)_u + \Gamma_{uu}^u \Gamma_{uv}^v + \Gamma_{uu}^v \Gamma_{vv}^v - \Gamma_{uv}^u \Gamma_{uv}^v - (\Gamma_{uv}^v)^2.$$

Expanding,

$$\begin{aligned} EK &= -\left(\frac{E_v}{2G}\right)_v - \left(\frac{G_u}{2G}\right)_u + \frac{E_u G_u}{4EG} - \frac{E_v G_v}{4G^2} + \frac{E_v^2}{4EG} - \frac{G_u^2}{4G^2} \\ &= \frac{E_v G_v - E_{vv} G}{2G^2} + \frac{G_u^2 - G_{uu} G}{2G^2} + \frac{E_u G_u}{4EG} - \frac{E_v G_v}{4G^2} + \frac{E_v^2}{4EG} - \frac{G_u^2}{4G^2} \\ &= \frac{-E_{vv} - G_{uu}}{2G} + \frac{E_v G_v + G_u^2}{4G^2} + \frac{E_u G_u + E_v^2}{4EG}. \end{aligned}$$

But

$$\begin{aligned} \left(\frac{E_v}{\sqrt{EG}}\right)_v &= \frac{E_{vv}\sqrt{EG} - E_v(E_v G + EG_v)/2\sqrt{EG}}{EG}, \\ \left(\frac{G_u}{\sqrt{EG}}\right)_u &= \frac{G_{uu}\sqrt{EG} - G_u(E_u G + EG_u)/2\sqrt{EG}}{EG}. \end{aligned}$$

Thus,

$$\begin{aligned}
 -\frac{1}{2\sqrt{EG}} \left[ \left( \frac{E_v}{\sqrt{EG}} \right)_v + \left( \frac{G_u}{\sqrt{EG}} \right)_u \right] &= \frac{-E_{vv} - G_{uu}}{2EG} + \frac{E_v^2 G + E_v G_v E + E_u G_u G + G_u^2 E}{4E^2 G^2} \\
 &= \frac{-E_{vv} - G_{uu}}{2EG} + \frac{E_v G_v + G_u^2}{4EG^2} + \frac{E_u G_u + E_v^2}{4E^2 G} \\
 &= K.
 \end{aligned}$$

This proves the desired formula. □

**Theorem 2.18** (Theorema Egregium). *The Gaussian curvature  $K$  is determined by only the first fundamental form, i.e. the functions  $E, F, G$ , and their derivatives.*